



Special Operations Forces



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Implementing the CMMI at the Special Operations Forces System Program Office

A Passion for Excellence



CMMI & SOF SPO



- **Laying the foundation**
- **Getting results**
- **Need to change**
- **Pilot assessment**
- **Moving forward**



WHY CHANGE?

- **There were no standardized ways to accomplish the SPO mission**
- **Cancelled Regulations/Guidelines = Lack of Documented Processes**
- **74% of SPO personnel wanted standardized processes**
- **Needed SPO Policies/Processes That Work For Us**



SPO's Goal



- **To establish a disciplined, consistent approach in developing and improving our acquisition and sustainment processes and to make continuous improvement a way of life in conducting business within the SPO**



STARTING OFF



- **Jun 1997--Conducted a Software Acquisition Capability Maturity Model (SA-CMM) Based Assessment For Internal Process Improvement**
- **Purpose--To Evaluate Current Acquisition Processes, To Identify Strengths & Weaknesses, And To Provide Direction For The Initiation Of Process Improvements**



WHY SA-CMM?



- **Model provides a disciplined , structured methodology**
- **Provides tools to both identify problems, and then solve them**
- **Model helps institute process improvement**



Establishing the Foundation



- **Developed an action plan**
- **Developed a charter**
- **Established an infrastructure**
- **Implemented the “Acquisition and Sustainment Process Improvement /Re-engineering Effort” (ASPIRE) as our program**



ASPIRE GOALS



- **Develop a disciplined, consistent approach to process improvement**
- **Perpetuate a knowledgeable / efficient acquisition organization providing “Value-Added” service to the Warfighter**
- **Have a process for continuous process improvement**



The SPO Plan



- **Our Infrastructure**
 - **Management Steering Group (MSG)** Chartered to Provide Management and Direction
 - **System Process Improvement Network (SPIN)** Chartered to Facilitate/Implement Process Improvement Program
 - **Process Action Teams (PAT)s** Formed to Define/Develop/Re-engineer Acquisition Processes



Systems Process Improvement Network (SPIN)

- **Program Managers of Process improvement**
- **Provide “Team” Training**
 - **Teambuilding**
 - **Process Definition**
 - **Project Planning**
- **Facilitate Process Improvement Meetings**
- **Provide Admin/Facilities Support to All ASPIRE Teams**



Process Action Teams (PAT)s

- **Define, develop, re-engineer processes**
- **Not “Fix-it” teams**
- **Real improvement the goal**
- **Composed of experienced, knowledgeable, skilled personnel from different career fields**
- **Approach breeds success**



THE LU PROCESS



MSG

**IDENTIFY
PROCESS**

**CHARTER
PAT**

**ASSIGN
PAT
OWNER**

**REVIEW
PROGRESS**

**ASSIGN
PROCESS
OWNER**

SPIN

**FACILITATE
PAT**

**TRACK
MAN-HRS**

**TRACK
IMPLEMEN
-TATION**

**PROCESS
OWNER**

**IMPLEMENT
PROCESS**

PAT

**RE-ENGINEER
PROCESS**

**TRAIN
WORKFORCE**

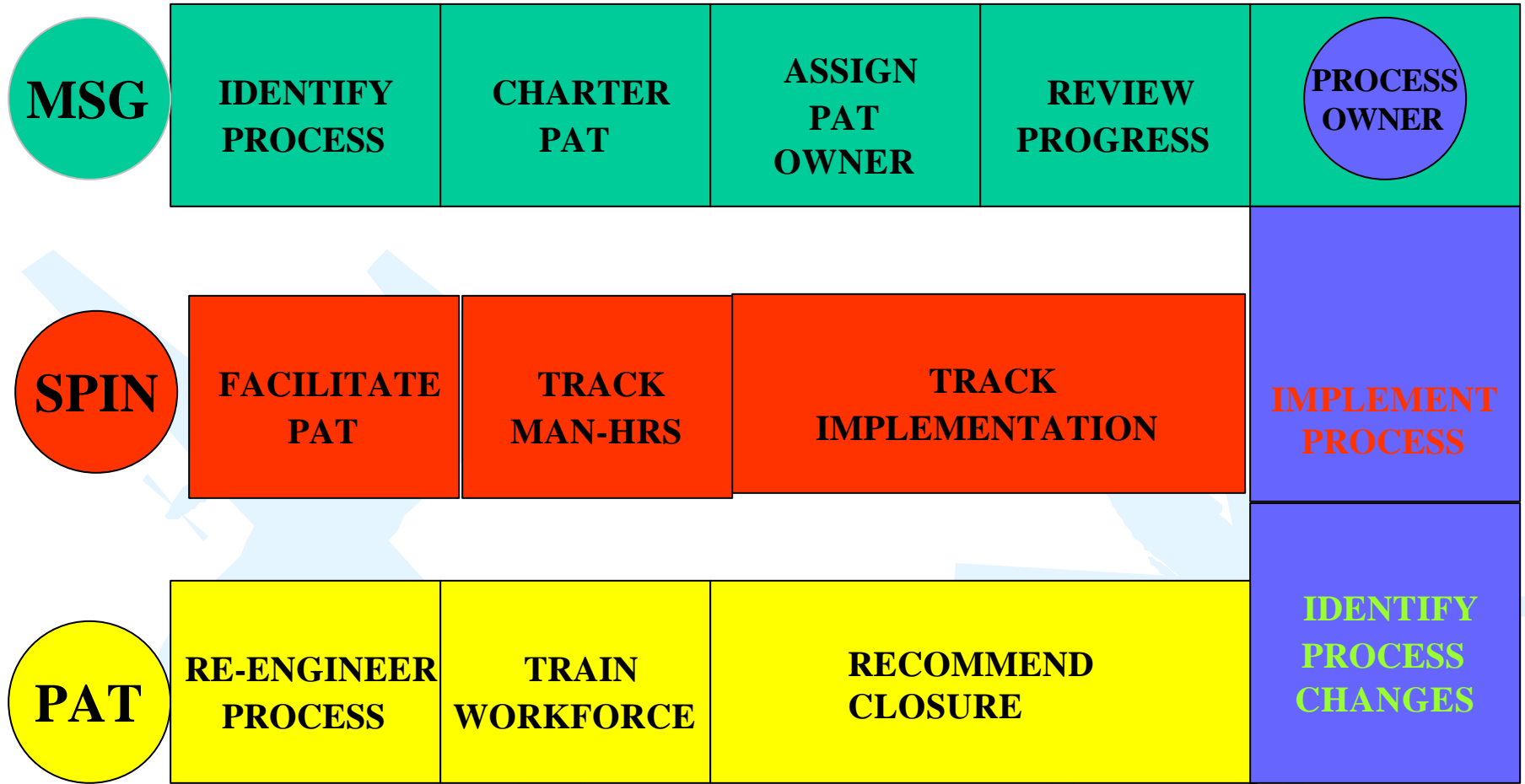
**RECOMMEND
CLOSURE**

MSG

**IDENTIFY
PROCESS
CHANGES**



THE LU PROCESS





Getting Results



- **Completed Process Improvement Actions – 16**
- **Examples**
 - Risk Management
 - Acquisition Planning
 - Training
 - Modification and Software Solicitation
- **In Progress – 2**
- **Working**
 - Project Planning
 - Hardware Cost Estimation
- **Planned – Unlimited**



What We Didn't Do!

- **Didn't implement measurement & analysis immediately**
 - All measurement viewed as “metrics” with little or no value added
 - Strong desire to make initial progress
 - Work load extremely heavy
 - Large number of trainees



Need to Change!

- **SPO is primarily an acquisition and sustainment organization**
- **Software development/management not our primary responsibility**
- **Term “Software” turned off some people**
- **Needed First Line Supervisor support and commitment**
- **Needed a model to fit us, not us fit the model**



SEI to the Rescue (Finding the CMMI SW/SE/IPPD/A)



- **Developing several CMMI models**
- **Acquisition model in two draft forms**
 - **Staged (all PAs assessed)**
 - **Continuous (only PAs applicable to the organization assessed)**
- **Needed pilot organizations**



The CMMI SW/SE/IPPD/A Assessment



- **SPO served as Pilot organization**
- **Sponsored by Dr. Jack Ferguson**
- **First Air Force Unit assessed using CMMI model**
- **The assessment was based on the CMMI Continuous Model**
- **17 Process Areas were assessed**



Team Composition

- **Team needed balanced blend of skills**
 - **Model experts**
 - Know the structure and intent of the model
 - **Acquisition experts**
 - Know how the SPO works
 - **External & Internal members**
 - Ensure a thorough, yet balanced assessment



The Assessment Team



• Bonnie Bollinger	LY Team Leader	Software Management
• Millie Sapp	LY Lead Assessor	Software Management
• Mark Cavanaugh	SEI Team Member	Research Staff
• Carl Ruffin	LU Team Member	SPIN Program Manager
• Carol Thomas	LU Team Member	SPIN Program Manager
• Barbara Kirby	LU Team Member	Program Manager
• Al Lowas	LU Team Member	Engineering
• Merrill Billups	LU Team Member	Equipment Specialist
• Debbie Koenig	LY Team Member	Software Engineer
• David Dayton	STSC Lead Assessor	Software Engineer
• Steve Acuff	Team Support	Contractor Support



Assessment Objectives



- **Provide a pilot vehicle for the Acquisition PAs**
- **To assess the SPO acquisition capability using the CMMI as a reference model**
- **Measure our progress from previous assessments**



Assessment Scope



- **Interviewed 47 participants**
 - **Functional Area Representatives**
 - **Project Managers**
- **Reviewed 112 documents**
- **Spent 130 hours over 10 working days assessing the SPO**



Improvement?



- **2001 – SEI Assessed SPO against the CMMI Continuous model**
- **Included all 1997 PAs and new PAs**
- **All PAs Level 2 capability – Managed Process**
- **Organizational Process Focus rated Level 3 – Defined Process**



Improvement? (Cont'd)

- **Cost estimations more accurate**
- **Risk management more thorough**
- **Tech data more timely**
- **Money management more efficient**



So What?

- **Created a rallying point for improvement**
- **Assessed our core business practices/processes**
 - **Strengths and weaknesses**
 - **Laid out the path to world-class, benchmarked excellence**
 - **CMMI really worked for us**



So What? (Cont'd)

- **Energized and unified SPO leadership**
 - **Focused on continuous improvement**
 - **Established priorities and practices**
 - **Gave us a model tailored to us**
- **Pulled in people not involved in 1997 assessment**
 - **Better understanding of SPO acquisition capabilities and maturity**



Bottom Line!

- **Involvement with and use of CMMI could have prevented a \$21M cost overrun if we had had level 3 capability measurement and analysis process in place**
- **Worth every \$ we spent on it!**



Moving Forward



- **Implement measurement and analysis tools**
- **Further refine our processes**
- **Achieve next level of the CMMI for all PAs**
- **Identify more areas for improvement**
- **Set the standard for excellence**